

COGSBP07

Ensure Compliance With Legal and Non-Regulatory Requirements in Support of Sustainable Business Practice



Overview

This standard is about ensuring compliance with the legal and other non-regulatory requirements that underpin sustainable business practice. Compliance must apply to all the legal and non-regulatory requirements associated with the social, economic and environmental principles of sustainability.

The role described by this standard requires that there is a clear understanding of the areas of compliance that an organisation has to meet. It requires that current compliance levels are determined together with the processes that are necessary to ensure on-going future compliance.

Finally, it requires the development and implementation of the controls necessary to establish and maintain future compliance with legal and other non-regulatory requirements related to sustainable business practice. The ability to correct non-compliance is essential to the standard.

Target Group

This standard is for those responsible for supporting the development of sustainable business practice. It is likely to apply to senior managers within large organisations and owner/managers within SMEs.

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Performance criteria

- You must be able to:*
- P1 identify and review **legal and other non-regulatory requirements** related to sustainable business practice
 - P2 identify and access sources of **information on legal and other non-regulatory requirements** related to sustainable business practice
 - P3 determine how **legal and other non-regulatory requirements** apply to the sustainability of the organisation
 - P4 evaluate organisational compliance with **legal and other non-regulatory requirements** related to sustainable business practice
 - P5 clearly specify any areas of non-compliance for the organisation
 - P6 propose options to ensure ongoing future compliance with **legal and other non-regulatory requirements** to sustainable business practice
 - P7 develop a risk register and contingency plan in support of organisational compliance
 - P8 develop and implement controls necessary to establish and maintain future compliance with **legal and other non-regulatory requirements** related to sustainable business practice
 - P9 communicate legal and other non-regulatory compliance requirements to those working for or on behalf of the organisation to ensure on-going compliance with **legal and other non-regulatory requirements**
 - P10 correct any areas of non-compliance within limits of responsibility
 - P11 monitor and report on compliance with **legal and other non-regulatory requirements** related to sustainable business practice
 - P12 communicate and promote achieved compliance for the organisation

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Knowledge and understanding

You need to know and understand:

- K1 the legislation, industry standards, and voluntary codes that specify compliance requirements for the organisation and the industry sector it operates within
- K2 how the economic, social and environmental principles of sustainability influence compliance
- K3 key regulators and enforcement bodies
- K4 the legal consequences associated with non-compliance
- K5 reasons for the organisation evaluating compliance with legal and other non-regulatory requirements
- K6 the requirements for legal documentation relevant to the organisation
- K7 non-compliance and its implications for the organisation
- K8 how to access sources of additional information and expertise
- K9 different methods available for evaluating compliance with legal and other non-regulatory environmental requirements of the organisation
- K10 the purpose and use of control mechanisms
- K11 the process for identification and implementation of corrective, preventative or improvement actions
- K12 methods for communicating the outcome of evaluation of compliance
- K13 reasons for maintaining an awareness of legal and other non-regulatory requirements
- K14 the different methods available for ensuring ongoing compliance with legal and other non-regulatory requirements of the organisation
- K15 how to record and present incidents of compliance and non-compliance

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Additional Information

Scope/range related to performance criteria

Legal and other non-regulatory requirements include:

- 1 economic
- 2 social (including safety)
- 3 environmental

Information to include:

- 1 internal to the organisation
- 2 external to the organisation

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